



200.1070CON3



UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Benjamin OSHLACK, et al.

Serial No.:

10/054,726

Filed:

November 12, 2001

For:

STABILIZED CONTROLLED RELEASE SUBSTRATE HAVING A COATING DERIVED FROM AN AQUEOUS

DISPERSION OF HYDROPHOBIC POLYMER

REQUEST FOR CORRECTED FILING RECEIPT

Box: Office of Initial Patent Examination's Customer Service Center Assistant Commissioner for Patents Washington, D.C. 20231

July 10, 2002

Sir:

We have received a filing receipt for the above-identified patent application. As indicated on the enclosed copy of the filing receipt in red ink, the correct address for inventor Frank Pedi is Yorktown Heights. Further, the above inventors name, as indicated on the above filing receipt, should read Frank Pedi, <u>Ir</u>,.

Applicants respectfully request that a corrected filing receipt be issued.

Your prompt attention to this matter would be greatly appreciated.

Respectfully submitted,

DAVIDSON DAVIDSON & KAPPEL, LL

By

Robert & Paradiso

Registration No. 41,280

Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, New York 10018 (212) 736-1940

I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, D.C. 20231" on July 10, 2002.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: Kitcein Thur

Date Mailed: 04/10/2002

States Patent and Trademark Office COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE ICSOS .O.C. NOTONIHRAW JUL 1 6 2002 www.uspto.gov UMBER **GRP ART UNIT** FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS FILING DATE 11/42/2001 1619 0.00 200,1070CON3 20 2

23280 DAVIDSON, DAVIDSON & KAPPEL, LLC 485 SEVENTH AVENUE, 14TH FLOOR NEW YORK, NY 10018

CONFIRMATION NO. 4195
LING RECEIPT

APR 15 2002

CONFIRMATION NO. 4195

CONFIRMATION NO. 4195

DAVIDSON, DAVIDSON & KAPPEL

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will

Applicant(s)

Benjamin Oshlack, New York, NY; Mark Chasin, Manalapan, NJ; Frank Pedi JR., Yorktown Hights, NY; Jr. Heights

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/469,478 12/22/1999 PAT 6,316,031 WHICH IS A CON OF 08/899,924 07/24/1997 PAT 6,129,933 WHICH IS A DIV OF 08/667,052 06/20/1996 PAT 5,681,585 WHICH IS A CIP OF 08/561,829 11/27/1995 PAT 5,958,459 WHICH IS A CON OF 08/086,248 07/01/1993 ABN WHICH IS A CIP OF 07/814,111 12/24/1991 PAT 5,273,760

generate another Filing Receipt incorporating the requested corrections (if appropriate).

Foreign Applications

If Required, Foreign Filing License Granted 04/10/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Stabilized controlled release substrate having a coating derived from an aqueous dispersion of

hydrophobic polymer

Preliminary Class

424

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE